



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Lacan et al.

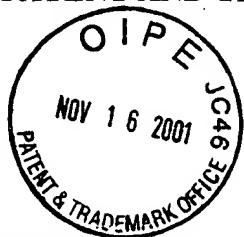
Serial No.: 09/988,320

Filing Date: 11/16/2001 (New)

Art Unit: 2131

Docket No.: CHA9-2001-0022US1

Title: DATA MANAGEMENT SYSTEM AND METHOD



REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

A corrected filing date is respectfully requested. Specifically, the above-identified patent application and corresponding paperwork were originally submitted via Express Mail on November 16, 2001. However, due to the United States Postal Service's suspension of Express Mail service for mail addressed to zip codes 202XX-205XX, the entire Express Mail package was returned. After being returned, the above-identified patent application and corresponding paperwork were hand delivered to the United States Patent and Trademark Office on November 19, 2001. It is requested that the filing date be changed from "11/19/2001" to --11/16/2001--. Provided is a copy of the Filing Receipt with the change noted in red.

Please see the enclosed Statement in Support of Corrected Filing Date signed by Ms. Martha Laffety. As indicated therein, Ms. Laffety originally deposited the above-identified patent application with the United States Postal Service, via Express Mail, on November 16, 2001. Also enclosed are: (1) a copy of the Express Mail label indicating a date of deposit of the above-identified patent application and corresponding paperwork with the United States Postal Service of November 16, 2001; (2) a copy of the shipment history for the Express Mail package containing the above-identified patent application and corresponding paperwork, as obtained from the United States Postal Service's world wide website; and (3) two certificates of mailing by Express Mail signed by Ms. Laffety indicating that the above-identified patent application was deposited with the United States Postal Service on November 16, 2001.

As indicated in the enclosed shipment history, the Express Mail package containing the above-identified patent application and corresponding paperwork was originally accepted by the

AM
Filing date granted
is 11/16/01.
G. Chang
from OPLA

United States Postal Service on November 16, 2001. However, as further indicated in the shipment history, the package was not delivered to United States Patent and Trademark Office as designated on the express mail label. Rather, the package was returned to the return address of Charlotte, NC.

In view of the foregoing, it is respectfully requested that the filing date of the above-identified patent application be changed from "11/19/2001" to --11/16/2001-- and a new filing receipt be issued upon fulfillment thereof.

Respectfully submitted,



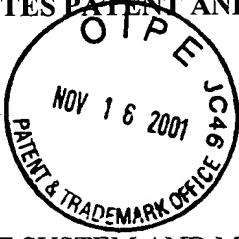
Date: 11/15/2001

Ronald A. D'Alessandro
Reg. No. 42,456

Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
Phone: (518) 449-0044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lacan et al.
Serial No.: 09/988,320
Filing Date: 11/16/2001 (New)
Art Unit: 2131
Docket No.: CHA9-2001-0022US1
Title: DATA MANAGEMENT SYSTEM AND METHOD



STATEMENT IN SUPPORT OF CORRECTED FILING DATE

I, Ms. Martha Laffety, in support of obtaining a corrected filing date of November 16, 2001 for the above-identified patent application, do hereby declare that:

(1) The above-identified patent application and corresponding paperwork were originally deposited by me with the United States Postal Service via Express Mail on November 16, 2001.

(2) Due to the United States Postal Service's suspension of Express Mail service for mail addressed to zip codes 202XX-205XX, the entire Express Mail package was returned.

(3) After being returned by the United States Postal Service, the above-identified application and corresponding paperwork were hand delivered to the United States Patent Office on November 19, 2001.

(4) Enclosed is a copy of the original Express Mail label indicating a date of deposit of the above-identified patent application and corresponding paperwork with the United States Postal Service of November 16, 2001.

(5) Enclosed is a copy of the shipment history for the Express Mail package containing the above-identified patent application and corresponding paperwork, as obtained from the United States Postal Service's world wide website.

(6) As indicated in the shipment history, the Express Mail package containing the above-identified patent application and corresponding paperwork was accepted by the United States Postal Service on November 16, 2001.

(7) As further indicated in the shipment history, the Express Mail Package containing the above-identified patent application and corresponding paperwork was not delivered to the United States Patent and Trademark Office as addressed on the Express Mail label. In contrast, the Express Mail package containing the above-identified patent application and corresponding paperwork was returned to the return address (Charlotte, NC) designated on the Express Mail label.

(8) Enclosed are copies of two Certificates of Mailing by Express Mail showing a date of deposit of the above-identified patent application and corresponding paperwork with the United States Postal Service of November 16, 2001.

I hereby declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

I further declare that to the best of my knowledge, information and belief, formed after an inquiry reasonable under the circumstances: (1) this paper is not being presented for any improper purpose, such as to harass someone or to cause unnecessary delay or needless increase in the cost of prosecution before

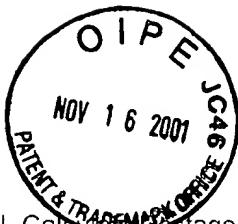
the Office; (2) the claims and other legal contentions herein are warranted by existing law or by a nonfrivolous argument for the extension, modification, or reversal of existing law or the establishment of new law; (3) the allegations and other factual contentions have evidentiary support or, if specifically so identified, are likely to have evidentiary support after a reasonable opportunity for further investigation or discovery; and (4) any denials of factual contentions are warranted on the evidence, or if specifically so identified, are reasonably based on a lack of information or belief.



Ms. Martha Laffety

11-05-01

Date



[Home](#) | [Sign In](#) | [Find ZIP Codes](#) | [Calculate postage](#) | [Change Address](#) | [Locate Post Offices](#) | [Track/Confirm](#)



Introduction
Ship
Rates
Supplies
Web Tools

Track & Confirm

[Delivery Confirmation™](#)

[Signature Confirmation™](#)

Keyword/Search

► Shipping center *Track & Confirm*



Shipment History

You entered ET69 3516 113U S

Your item was delivered at 10:39 am on November 19, 2001 in CHARLOTTE, NC 28262.

Here is what happened earlier:

- ARRIVAL AT UNIT, November 19, 2001, 8:51 am, CHARLOTTE, NC 28262
- ARRIVAL AT UNIT, November 17, 2001, 12:21 pm, CHARLOTTE, NC 28219
- ACCEPTANCE, November 16, 2001, 4:36 pm, ALBANY, NY 12207

[Request Delivery Record >](#)

Track & Confirm

Enter number from shipping receipt in the field below:



POSTAL INSPECTORS
Preserving the Trust

[site map](#) | [contact us](#) | [FAQs](#) | [search](#) | [keywords](#)

Copyright © 1999-2001 USPS. all rights reserved. [Terms of Use](#) [Privacy Commitment](#)

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Lacan et al.

Docket No.

CHA920010022US1

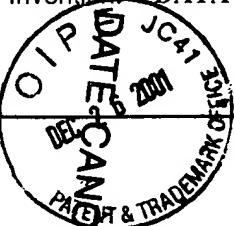
Serial No.

Filing Date

Examiner

Group Art Unit

Invention: DATA MANAGEMENT SYSTEM AND METHOD



I hereby certify that this Patent Application and Corresponding Paperwork
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on November 16, 2001
(Date)

Martha Laffety

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

ET693516113US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

MAILED
DEB
OCT 10 2001
PATENT & TRADEMARK OFFICE
CANCELLLED



APPLICATION
FOR
UNITED STATES LETTERS PATENT

APPLICANT NAME: Lacan et al.

TITLE: Data Management System and Method

DOCKET NO.: CHA920010022US1

INTERNATIONAL BUSINESS MACHINES CORPORATION

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 as "Express Mail Post Office to Addressee" Mailing Label No. ET693516113US

on November 16, 2001

Martha Laffety

Name of person mailing paper

Martha Laffety
Signature

11-16-01
Date

DEC 26 2001

MAIL DATE CANCELLED

TRANSMITTAL LETTER
(General - Patent Pending)

Receipt

Docket No.
CHA920010022US1

In Re Application Of: Lacan et al.

Serial No.

09/988,320

Filing Date

11/16/2001 (new)

Examiner

Group Art Unit

2131

Title: DATA MANAGEMENT SYSTEM AND METHOD

NOV 16 2001

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Request for Corrected Filing Receipt in 2 pages
Statement in Support of Corrected Filing Date in 3 pages
Copy of Original Express Mail Label in 1 page
Copy of Shipment History for Express Mail Package in 1 page
Copies of two (2) Certificates of Mailing by Express Mail

Acknowledgement Postcard
Marked-Up Filing Receipt

in the above identified application.

No additional fee is required.

A check in the amount of _____ is attached.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 500999 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Signature

Dated: December 5, 2001

Ronald A. D'Alessandro - Reg. No. 42,456

Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
(518) 449-0044 Telephone
(518) 449-0047 Facsimile

I certify that this document and fee is being deposited on 12/05/2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

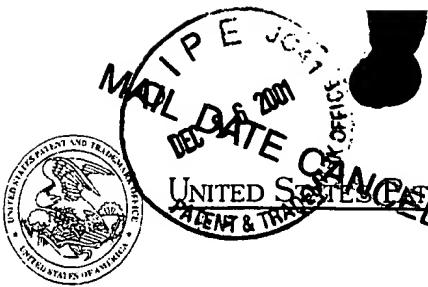
Wendy E. Thompson

Signature of Person Mailing Correspondence

Wendy E. Thompson

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/988,320	11/16/2001	2131	896	CHA920010022US1	4	24	4

CONFIRMATION NO. 8419

23550
 HOFFMAN WARNICK & D'ALESSANDRO, LLC
 3 E-COMM SQUARE
 ALBANY, NY 12207

FILING RECEIPT



OC000000007145040



Date Mailed: 12/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Francis M. Lacan, London, UNITED KINGDOM;
 Ronald Perez, Mount Kisco, NY;
 Michael I. Shub, New York, NY;
 Charles P. Tresser, New York, NY;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/30/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Data management system and method

